

Quarterly Order Routing Statistics: MDB Capital Group LLC
Date: 01-APR-2017 - 30-JUN-2017

NYSE Listed Securities

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
9	100.0%	0	0.0%	0	0.0%	9	100.0%	0	0.0%									
										NYSE	8	88.9%	0	0.0%	8	88.9%	0	0.0%
										DRCTEDGE	1	11.1%	0	0.0%	1	11.1%	0	0.0%

Nasdaq Stock Market securities

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
1284	99.8%	2	0.2%	46	3.6%	1232	96.0%	6	0.5%									
										ISLAND	960	74.8%	12	26.1%	947	76.9%	1	16.7%
										IB_ATS	112	8.7%	18	39.1%	93	7.5%	1	16.7%
										DRCTEDGE	46	3.6%	3	6.5%	40	3.2%	3	50.0%
										BYX	30	2.3%	0	0.0%	30	2.4%	0	0.0%
										VIRTUDP	22	1.7%	4	8.7%	18	1.5%	0	0.0%
										ARCA	22	1.7%	1	2.2%	21	1.7%	0	0.0%
										UBSDARK	20	1.6%	2	4.3%	18	1.5%	0	0.0%
										NITEECN	19	1.5%	2	4.3%	17	1.4%	0	0.0%
										BATS	11	0.9%	1	2.2%	10	0.8%	0	0.0%
										NITEEXST	10	0.8%	0	0.0%	10	0.8%	0	0.0%
										CITADELDP	10	0.8%	1	2.2%	9	0.7%	0	0.0%
										BEX	7	0.5%	0	0.0%	7	0.6%	0	0.0%
										ITG	4	0.3%	1	2.2%	3	0.2%	0	0.0%
										JANE	3	0.2%	0	0.0%	2	0.2%	1	16.7%
										CSFBCROSS	3	0.2%	0	0.0%	3	0.2%	0	0.0%
										EDGEA	2	0.2%	0	0.0%	2	0.2%	0	0.0%
										PDO	2	0.2%	1	2.2%	1	0.1%	0	0.0%
										IEX	1	0.1%	0	0.0%	1	0.1%	0	0.0%

Securities listed on Amex or any other national securities exchange

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
10	100.0%	0	0.0%	0	0.0%	10	100.0%	0	0.0%									
										DRCTEDGE	5	50.0%	0	0.0%	5	50.0%	0	0.0%
										IB_ATS	2	20.0%	0	0.0%	2	20.0%	0	0.0%
										AMEX	2	20.0%	0	0.0%	2	20.0%	0	0.0%
										JANE	1	10.0%	0	0.0%	1	10.0%	0	0.0%

Listed Options

Orders# Non-Dir	Orders% Non-Dir	Orders# Direct	Orders% Direct	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%	Venue	Routed orders#	Routed orders%	Market orders#	Market orders%	Limit orders#	Limit orders%	Other orders#	Other orders%
1	100.0%	0	0.0%	0	0.0%	1	100.0%	0	0.0%									
										PSE	1	100.0%	0	0.0%	1	100.0%	0	0.0%

EXCHANGE NAME ABBREVIATIONS

- AMEX NYSE MKT / NYSE Amex Options
- ARCA NYSE Arca
- BATS BATS Z-EXCHANGE
- BEX NASDAQ OMX BX
- BYX BATS Y Exchange
- CITADELDP Citadels Darkpool for US Equities
- CSFBCROSS CSFB CrossFinder
- DRCTEDGE BATS DIRECT EDGE
- EDGEA BATS EDGA Exchange
- IB_ATS Interactive Brokers Alternative Trading System
- IEX Investors Exchange
- ISLAND Nasdaq
- ITG Investment Technology Group
- JANE Jane Street Execution Services LLC
- NITEECN KNIGHT LINK
- NITEEXST Knight Trading Exhaust Darkpool
- NYSE New York Stock Exchange
- PDO PDQ ATS
- PSE NYSE Arca Options

ABBREVIATIONS

BASIC ORDER FLOW PROVIDER ROUTING REPORT

Orders# Non-Dir	The number of total orders from the provider that were non-directed orders.
Orders% Non-Dir	The percentage of total orders from the provider that were non-directed orders.
Orders# Direct	The number of total orders from the provider that were directed orders.
Orders% Direct	The percentage of total orders from the provider that were directed orders.
Market orders#	The number of total non-directed customer orders that were market orders.
Market orders%	The percentage of total non-directed customer orders that were market orders.
Limit orders#	The number of total non-directed customer orders that were limit orders.
Limit orders%	The percentage of total non-directed customer orders that were limit orders.
Other orders#	The number of total non-directed customer orders that were special handling orders and any orders that must be executed on a particular tick or bid.
Other orders%	The percentage of total non-directed customer orders that were special handling orders and any orders that must be executed on a particular tick or bid.
Venue	List of the ten venues to which the largest number of total non-directed orders were routed for execution and of any venue to which more than five percent or more of non-directed orders were routed for execution
Routed orders#	The number of total non-directed orders routed to the venue
Routed orders%	The percentage of total non-directed orders routed to the venue
Market orders#	The number of total non-directed market orders routed to the venue
Market orders%	The percentage of total non-directed market orders routed to the venue
Limit orders#	The number of total non-directed limit orders routed to the venue
Limit orders%	The percentage of total non-directed limit orders routed to the venue
Other orders#	The number of total non-directed other orders routed to the venue. Other orders include special handling orders and any

Other
orders%

orders that must be executed on a particular tick or bid.
The percentage of total non-directed other orders routed to the venue. Other orders include special handling orders and any orders that must be executed on a particular tick or bid.